03500.015355

PATENT APPLICATION

NOV 1 2003 22

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

re Application of:

HIDENORI TAKATA, et al.

Application No.: 09/853,684

Filed: May 14, 2001

For: INFORMATION MANAGEMENT

APPARATUS, INFORMATION MANAGEMENT SYSTEM, AND STORAGE MEDIUM STORING INFORMATION MANAGEMENT

SOFTWARE

Group Art Unit: 2122 RECEIVED

NOV 1 8 2003

Technology Center 2100

November 14, 2003

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

INFORMATION DISCLOSURE STATEMENT

Sir:

In compliance with the duty of disclosure under 37 C.F.R. § 1.56 and in accordance with the practice under 37 C.F.R. §§ 1.97 and 1.98, the Examiner's attention is directed to the documents listed below and on the enclosed Form PTO-1449. Copies of the listed documents are also enclosed.

- (1) U.S. Patent No. 5,943,650
- (2) U.S. Patent Publication No. 2001/0016921
- (3) U.S. Patent Publication No. 2002/0049716
- (4) Japan 02-810033
- (5) Japan 07-089305

Documents (2) and (3) above have a common inventor with, and are

commonly assigned with, the subject application.

Document (4) is discussed in the specification commencing at page 1, line

14 and document (5) is discussed in the specification commencing at page 1, line 21.

These documents might be deemed pertinent for the reasons given therein. An English-

language abstract for document (5) is enclosed. Document (1) is believed to be an

English-language counterpart of document (4).

Inasmuch as this application has not yet received a first Office Action on the

merits, it is believed that this Information Disclosure Statement is timely. See 37 C.F.R. §

1.97(b)(3).

Accordingly, the Examiner is urged to study this information in its entirety

and to form an independent determination of the materiality of the information to the

claimed invention. Additionally, the Examiner is requested to indicate that this

information has been considered by initialling the appropriate portion of Form PTO-1449.

Applicants' undersigned attorney may be reached in our Costa Mesa,

California office by telephone at (714) 540-8700. All correspondence should continue to

be directed to our address given below.

Respectfully submitted,

Registration No. 39,000

FITZPATRICK, CELLA, HARPER & SCINTO

30 Rockefeller Plaza

New York, New York 10112-3800

Facsimile: (212) 218-2200

- 2 -

FORM PTO 14		REE PE VC,	ATTY DOCKET NO. 03500.015355. APPLICATION NO. 09/853,684						
LIST	U.S. DEPARTMENT OF COMME PATENT AND TRADEMARK OF OF REFERENCES CITED BY AR (Use several sheets if necess	₱ ॔ ॔॔							
	(Ose several sheets in necessary	/ Wig.	FILING DATE May 14, 2001	(GROUP 2122				
		FIENT & TRADEM	U.S. PATENT DOCUMENTS						
*EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE			
	5,943,650	08/24/99	Kanno	705	1				
	2001/0016921	08/23/01	Takata	714	15				
	2002/0049716	04/25/02	Takata et al.	707	1				
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		FC	DREIGN PATENT DOCUMENTS						
	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION YES/NO/ OR ABSTRACT			
	02-810033	07/31/98	Japan		·				
	07-089305	09/27/95	Japan			Abstract			
		OTHER DOCUMENT(S	S) (Including Author, Title, Date, Pertinent Pages, Etc.)						
EXAMINER		· .	DATE CONSIDERED						
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^{*}EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.